

SUFFOLK COUNTY CODE § 77-6.
POST-EMPLOYMENT RESTRICTIONS
(ELECTED OFFICIALS)

1. **No elected official** shall appear before any agency in the branch of County government served by such elected official within a period of two years after such official's separation from County service. For the purposes of this section, the executive branch consists of all agencies of the County, except the County Legislature. This prohibition shall not apply to a former elected official who appears before a County agency on behalf of another governmental entity as an elected representative or employee.

2. **No elected official** may resign prior to the completion of his or her term of office and be employed by the County in any other position for a period of two years after his or her resignation.

3. **No elected official** may resign prior to the completion of his or her term of office and be employed with a certified employee organization which engages in collective bargaining negotiations with the County for a period of two years after his or her resignation.

4. **No elected official** whose term of office has expired may accept employment with a certified employee organization which engages in collective bargaining negotiations with the County for a period of two years after the expiration of his or her term.



SUFFOLK COUNTY ADMIN. CODE §A30-2.
TRAINING AND EDUCATION.

1. **All elected officials** shall receive mandatory ethics training at the first available ethics training seminar after the elected official takes office.

2. All County employees **appointed by elected officials**, including department heads and division heads, shall receive mandatory ethics training at the first available training seminar conducted after the effective date of their appointment.

Mission Statement

The Suffolk County Board of Ethics is to practice and promote ethical literacy and conduct in government through Training, Transparency, and Trust



**STATEMENTS AND FORMS ON BOARD'S
WEBPAGE INCLUDE:**

- **Elected Official's Sworn Statement**
- **Recusal Statement**
- **Official Addendum to Financial Disclosure Statement ("FDS")**
- **FDS Extension Application**
- **Advisory Opinion Request form**
- **Ethics Violation Complaint form**

**[www.SuffolkCountyNY.Gov/Government/
SuffolkCountyBoardofEthics](http://www.SuffolkCountyNY.Gov/Government/SuffolkCountyBoardofEthics)**



Quick Reference
For Elected Officials



MAY 15
County Financial Disclosure
Statement Annual Filing Due

MAY 31
County Elected Official's
Sworn Statement Semiannual
Filing Due

OCT 31
County Elected Official's
Sworn Statement Semiannual
Filing Due

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1. NYC COIB ADVISORY OPINION No. 2016-1 *GIFT OF TRAVEL: ITINERARY WITH* *GOVERNMENT AND POLITICAL ACTIVITIES*

SUMMARY: “The Conflicts of Interest Board (the “Board”) received a request for advice from an elected official asking whether, consistent with Chapter 68 of the City Charter, the City’s conflicts of interest law, the elected official may accept as a “gift to the City.” The Board advised the instant elected official, when an elected official takes a trip that includes both governmental and political activities, the elected official may not accept payment from a third party for that portion of the trip devoted to political activity.”

2. SUFFOLK COUNTY BOARD OF ETHICS **ADVISORY OPINION No. AO-2013-11** *NOT FOR PROFIT TICKETS AND DOOR PRIZES*

SUMMARY: It would not violate the Suffolk County Code of Ethics for a Legislator to accept a complimentary ticket to a dinner for a local not-for-profit hospital, fundraiser, or fire department installation to represent Legislative office because attendance at such events is a permissible exemption under the Suffolk County Ethics Law. **HOWEVER** the Board concluded that the Legislator may not accept any gifts, door prizes, raffle prizes, or auction prizes while in attendance at such events. The Laws of Suffolk County; Suffolk County Administrative Code XXX, Advisory Opinions; and Chapter 77, §77-3(c), §77-3(e), §77-3(f), and §77-5(g).

3. SUFFOLK COUNTY CODE § 77-2 (B) “No County employee shall have an ownership interest in a firm which such employee knows is engaged in business dealings with the County.”

Please view additional Suffolk County Elected Official Advisory Opinions on conflicts of interest topics including travel, recusals, gifting, and more on the SCBE webpage.

4. NYC ADVISORY OPINION No. 2007-4 *OUTSIDE FINANCIAL INTERESTS*

Mayor Michael R. Bloomberg requested an opinion from the Conflicts of Interest Board concerning the application of the conflicts of interest provisions of Chapter 68 of the City Charter to an impending change in his outside financial interests.

SUMMARY: “So long as Michael R. Bloomberg is Mayor, 1) The proposed investments by Mr. Bloomberg and by the Foundation in a wide variety of financial instruments will not violate Chapter 68, provided that it is the investment firm or firms (“Investorco[s]”) assisting him with these investments that choose and retain the Managers who will make the specific investments; Mr. Bloomberg’s communications with the Investorco[s] are limited to decisions about the allocation of investments among broad classes or sectors, the performance of the categories of investments, and the performance of the Managers; and Mr. Bloomberg does not know either the identities of the Managers or the specific investments they make on his behalf and on behalf of the Foundation. Mr. Bloomberg may make decisions on whether to retain particular Managers - again, provided that he does so based on their performance, without knowing their identities. 2) In response to the Board’s concern that Mr. Bloomberg may be considered to have a “financial relationship” with, and therefore may be “associated” within the meaning of Charter Section 2601(5) with, the financial institutions that are involved in financing the distributions to Mr. Bloomberg from Bloomberg L.P. or its affiliates, Mr. Bloomberg has agreed to recuse himself in his official capacity from all matters involving those financial institutions. 3) Mr. Bloomberg must recuse himself in his official capacity from all matters involving each Investorco, whose identity[ies] he will report to the Board upon their selection and which shall be made a matter of public record. 4) Mr. Bloomberg must provide the Board with copies of his written agreement with each Investorco, which will set forth, *inter alia*, the above provisions”.

5. SUFFOLK COUNTY BOARD OF ETHICS **ADVISORY OPINION No. AO-2013-9** *GIFT OF TRAVEL*

SUMMARY: The Suffolk County Board of Ethics received a request from a Suffolk County Legislator and based on the facts presented concluded that it would violate §77-3(c) and §77-3(f) for a County Legislator to accept the gift of travel from not for profit Foundation to Mexico.

6. SUFFOLK COUNTY BOARD OF ETHICS **ADVISORY OPINION No. 2013-14** *OUTSIDE POSITIONS AND USE OF TITLE*

The Suffolk County Board of Ethics received a request from a Suffolk County Legislator and based on the facts presented concluded it would be impermissible under §77-3(B) for a County Legislator to serve as the Chair of a Board of Directors for a not-for-profit foundation which will be requesting legislation from Suffolk County for donation of land. The Board also finds that it would be a direct conflict of interest for an Elected Official’s title to appear in solicitations for fundraising events.

Suffolk County Code § 77-5. **PROHIBITED CONDUCT EXEMPTIONS** (ELECTED OFFICIALS)

This article shall not prohibit:

1. **An elected official** from appearing without compensation before any County agency on behalf of constituents in the performance of his or her public duties and responsibilities.
2. **An elected official** from proposing or voting on a measure that will provide a benefit to the elected official, if the benefit will be available to County residents generally or to a substantial class of residents to which the public servant belongs.
3. **An elected official** from accepting an invitation to, and attending and participating in, an event sponsored by a community group or organization.